Electron tomography, three-dimensional Fourier analysis and colour prediction of a three-dimensional amorphous biophotonic nanostructure

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Organismal colour can be created by selective absorption of light by pigments or light scattering by photonic nanostructures. Photonic nanostructures may vary in refractive index over one, two or three dimensions and may be periodic over large spatial scales or amorphous with short-range order. Theoretical optical analysis of three-dimensional amorphous nanostructures has been challenging because these structures are difficult to describe accurately from conventional two-dimensional electron microscopy alone. Intermediate voltage electron microscopy (IVEM) with tomographic reconstruction adds three-dimensional data by using a high-power electron beam to penetrate and image sections of material sufficiently thick to contain a significant portion of the structure. Here, we use IVEM tomography to characterize a non-iridescent, three-dimensional biophotonic nanostructure: the spongy medullary layer from eastern bluebird Sialia sialis feather barbs. Tomography and three-dimensional Fourier analysis reveal that it is an amorphous, interconnected bicontinuous matrix that is appropriately ordered at local spatial scales in all three dimensions to coherently scatter light. The predicted reflectance spectra from the three-dimensional Fourier analysis are more precise than those predicted by previous two-dimensional Fourier analysis of transmission electron microscopy sections. These results highlight the usefulness, and obstacles, of tomography in the description and analysis of three-dimensional photonic structures.

Keywords: biophotonics; structural colour; tomography; Fourier analysis; feathers

1. INTRODUCTION

Coloration of organisms is created by selective absorption of light by pigments, or light scattering by photonic nanostructures. Photonic nanostructures may vary in refractive index over one, two or three dimensions and may be periodic over large spatial scales or amorphous with short-range order. Theoretical optical analysis of three-dimensional amorphous nanostructures has been challenging because these structures are difficult to describe accurately from conventional two-dimensional electron microscopy alone. Intermediate voltage electron microscopy (IVEM) with tomographic reconstruction adds three-dimensional data by using a high-power electron beam to penetrate and image sections of material sufficiently thick to contain a significant portion of the structure. Here, we use IVEM tomography to characterize a non-iridescent, three-dimensional biophotonic nanostructure: the spongy medullary layer from eastern bluebird Sialia sialis feather barbs. Tomography and three-dimensional Fourier analysis reveal that it is an amorphous, interconnected bicontinuous matrix that is appropriately ordered at local spatial scales in all three dimensions to coherently scatter light. The predicted reflectance spectra from the three-dimensional Fourier analysis are more precise than those predicted by previous two-dimensional Fourier analysis of transmission electron microscopy sections. These results highlight the usefulness, and obstacles, of tomography in the description and analysis of three-dimensional photonic structures.
biophotonic nanostructures include various thin films and multilayer reflectors (Land 1972; Parker et al. 1998). Two-dimensional structures include arrays of parallel collagen fibres in avian skin (Prum & Torres 2003a) and anti-reflective ‘nipple arrays’ in butterfly corneas (Stavenga et al. 2006). Three-dimensional photonic structures include opal analogues (Parker et al. 2003), inverse opal analogues (Morris 1975) and spongy medullary bird feathers (Prum 2006). In addition to the variation in the dimensionality of refractive index (Joannopoulos et al. 2008), photonic structures can also vary in the degree of periodicity or order in refractive index. In classical crystal-like nanostructures, the periodicity of variation in refractive index extends over large spatial scales (i.e. over many wavelengths). In amorphous or quasi-ordered nanostructures, there is only short-range order in the periodicity of refractive index limited to the local scale of the nearest-neighbour element or scatterer (Prum & Torres 2003b). Variation in both dimensionality and degree of periodicity contributes greatly to the overwhelming diversity of structural colour-producing biological nanostructures.

Describing and analysing the optical properties of three-dimensional amorphous nanostructures—such as the spongy medullary layer—has been particularly challenging. Recent studies using two-dimensional Fourier analysis of transmission electron microscopy (TEM) cross sections have demonstrated that these materials are highly ordered at small spatial scales (Prum et al. 1998, 1999, 2003). Optical predictions from these Fourier analyses have falsified the century-old hypothesis that these structures produce colour by incoherent or Rayleigh scattering (Prum et al. 1998). Although these analyses convincingly demonstrate that the spongy layer is responsible for a reflectance peak at a discrete wavelength, the peaks and shapes of the predicted reflectance spectra are subject to substantial errors (Prum et al. 1998, 2006; Shawkey et al. 2003, 2006). One explanation for this discrepancy is that two-dimensional Fourier analyses are not sufficient to describe accurately the periodicity of a three-dimensional nanostructure. This hypothesis is supported by the stronger congruence between measured and predicted reflectance spectra from two-dimensional Fourier analysis of quasi-ordered two-dimensional nanostructures in mammalian and avian skin (Prum & Torres 2003a, 2004). Here, we combine tomographic reconstruction with intermediate voltage electron microscopy (IVEM) data for the first three-dimensional description of a biophotonic nanostructure of the spongy medullary barb keratin of the eastern bluebird (Sialia sialis). IVEM can handle much thicker samples (typically 250–300 nm) compared with traditional TEM (typically 70–90 nm). For tomographic reconstruction, images are taken at regular angular increments, and, upon three-dimensional reconstruction, nanostructural features can be observed by examining nanometre-thick digital slices through the sample (Frey et al. 2006). We used Fourier analysis of the full three-dimensional dataset to describe the nanoscale variation in composition across all dimensions within the nanostructure, and to predict its structural colour production based on Benedek’s coherent scattering hypothesis (Benedek 1971; Prum 2006).

2. EXPERIMENTAL

2.1. Spectrometry

Rump feathers from one eastern bluebird were randomly chosen from a large collection in our laboratories. For colour analysis, we used the methods of Shawkey et al. (2003). Briefly, we taped feathers in stacks of five directly on top of one another to black construction paper, and recorded spectral data from five haphazardly chosen points on the blue portion of this stack using an Ocean Optics S2000 spectrometer (range 250–880 nm; Dunedin, FL) with a bifurcated micrometre fibre-optic probe held normal to and 5 mm from the feather surface using a probe holder (RPH-1; Ocean Optics). The reading area of 2 mm diameter of light was illuminated by both a UV (D-2000 deuterium bulb; Ocean Optics) and a visible (tungsten–halogen bulb) light source. All data were generated relative to a white standard (WS-1; Ocean Optics).
2.2. Electron tomography sample preparation

For a thorough review of electron tomographic principles and methodology, see Frey et al. (2006). Blue colour is localized in the distal sections of bluebird feather barbs; thus we cut coloured portions of feather barbs and dehydrated them by incubation in 100 per cent ethanol twice and 100 per cent propylene oxide once. We then infiltrated them with Epon 812 (Electron Microscopy Sciences, Hatfield, PA) in successive concentrations of 15, 50 and 100 per cent. Each of these infiltration steps was performed under vacuum in a desiccator for 24–48 hours. We placed barbs into moulds, with the most distal tip of the barb at the top of the mould, cured the blocks in an oven at 68°C for 24 h and cut 80–1500 nm thick cross sections using a diamond knife (Diatome, Switzerland) on a Reichert (Buffalo, NY) ultramicrotome. We picked these sections up with clamshell grids (Ted Pella, Redding, CA) coated with formvar on one side, stained grids with uranyl acetate and Sato’s (1968) lead citrate and coated them with carbon. For accurate alignment of two-dimensional projection images, 10–15 nm colloidal gold fiducials (BBI Research, Inc., Madison, WI) were applied as markers.

2.3. Electron tomography

We collected a dual-axis tilt series on an approximately 1200 nm thick section of spongy tissue from $-60^\circ$ to $+60^\circ$ at 2° increments on a JEOL 4000FX microscope at 400 kV at the National Center for Microscopy and Imaging Research at the University of California, San Diego. Thickness of the sample was chosen to maximize repetition of the periodic structure of our sample in the third dimension (estimated at approximately 100 nm based on calculations from two-dimensional samples) within the limits of IVEM electron-beam penetration. To limit data anisotropy, we performed a dual-axis reconstruction and collected data over the maximum range of adequate electron-beam penetration (Lucic et al. 2005; Frey et al. 2006). We reconstructed a three-dimensional tomogram using algorithms in the IMOD tomography package (Kremer et al. 1996) and viewed reconstructions using IMOD and University of California San Francisco (UCSF) Chimera (Pettersen et al. 2004).

These reconstructions are stacks of images with dimensions $z \times y \times 1$ voxel referred to as density maps. Each voxel in our density map represents 1 nm. Because Fourier analysis works more efficiently on cubes with dimensions of $n^3$, we chose two 1024 nm$^3$ areas of pure spongy tissue from the centre of the thick sample for analysis. We sampled from the centre because this area was best defined and showed the fewest interpolation artefacts.

2.4. Definition of dimensions and planes for tomography

We defined $x$ as the medial–lateral dimension, $y$ as the dorsal–ventral (or obverse–reverse) dimension and $z$ as the proximal–distal dimension (figure 1b). Thus, the thickness of the section corresponds to the $z$-dimension, along the length of the barb. The $xy$ plane is the transverse cross-sectional plane of the barb (used in numerous other studies; figure 1c), while the $xz$ and $yz$ planes are visualized by rotating the structure $90^\circ$ on the $x$- and $y$-axes, respectively.

2.5. Fourier analysis of the biophotonic nanostructure

We analysed images and density maps from the thick section using a modified version of Prum & Torres’ (2003b) Fourier tool for biological nano-optics that was expanded to perform a three-dimensional Fourier analysis on IVEM data, rather than the two-dimensional Fourier analyses on TEM images for which it was originally programmed. This MATLAB-based code uses Fourier analysis to determine whether the nanostructure of photonic tissue is sufficiently organized at an appropriate scale to produce colour by coherent light scattering alone (Prum & Torres 2003b; Prum 2006).

Briefly, each two-dimensional slice of the three-dimensional tomogram is Fourier transformed using the matrix algebra program MATLAB’s fast Fourier transform (FFT2) algorithm. The zero-frequency Fourier components are shifted to the origin of these two-dimensional matrices and the results are stored in a three-dimensional stack. Subsequently, the matrix of two-dimensional transforms is Fourier transformed along the third dimension and the zero-frequency components are shifted to the origin. The square of the absolute value of the resulting matrix gives the three-dimensional Fourier power spectrum. This is equivalent to taking the three-dimensional Fourier transform of the entire three-dimensional tomogram at once, as a multidimensional Fourier transform is a separable operation over each dimension (Goodman 1996).

We used a refractive index of 1.54 for β-keratin (Brink & van der Berg 2004) and 1.0 for air in our estimation of the average refractive index of the spongy medullary barb nanostructure. The average refractive index ($n_{avg}$) of the spongy tissue is estimated by weighting the respective refractive indices of keratin and air with the relative frequency of the distribution of keratin (dark) and air (light) elements obtained from a two-partition histogram of the distribution of dark and light pixels over the entire tomogram (Prum & Torres 2003b). Specifically, each slice of the tomogram is first normalized, i.e. offset and scaled so that the minimum and maximum image value is between 0 and 1 (instead of 0 and 255). Pixels below the median image value and those above are set to 0 and 1, respectively, to binarize the image. The image is then locally median-filtered in a $2 \times 2$ pixel neighbourhood around each pixel in the input image using the medfilt2 function to remove some amount of noise. We find that the $2 \times 2$ pixel neighbourhood is more optimal than the default $3 \times 3$ or larger neighbourhoods, as they tend to progressively discard data along with noise. A two-bin histogram of the binary image intensity is used to estimate the relative composition of keratin and air to arrive at $n_{avg}$. The array of $n_{avg}$ values for all the slices is then averaged to arrive at the global value for the tomogram.
We obtained a value of 1.2143 for $n_{\text{avg}}$, which remained stable within a tolerance of 3 per cent when the median-filtering neighbourhood was varied. However, we obtained a physically implausible value of 1.0212 when we used the raw tomogram without any image processing.

Subsequent azimuthal analysis of the three-dimensional Fourier power spectrum incorporating the estimated average refractive index allows the user to obtain a predicted reflectance spectrum (Prum et al. 1998, 1999). Azimuthal average of the three-dimensional Fourier power spectrum is calculated by defining concentric spherical shells corresponding to either equally spaced wavelength intervals (e.g. 100 bins of 5 nm interval between 300 and 800 nm covering the entire visible spectrum) or inverse spatial frequency bins (between 0 and 0.02 nm$^{-1}$ covering all optical phenomena; see Prum & Torres 2003b). Since the Fourier power distribution is essentially a volume (under the graph of the square of the Fourier transform), the three-dimensional azimuthal averages are multiplied by the square of the radii of the respective spherical bins to produce a quantity proportional to the volume of the spherical shell over each bin of frequencies. The azimuthally averaged power values are expressed in per cent visible Fourier power after normalizing by the total power across all inverse spatial frequencies corresponding to visible wavelengths. The predicted reflectance is then given by the relative frequencies corresponding to visible wavelengths. The sequence of keratin rods and air voids forming a characteristic ‘channel’-type structure (figure 2; see movies 1–3 in the electronic supplementary material). The keratin forms interconnected, anastomosing, net-like layers with equivalently shaped air channels. Slices through the $z$-axis show random orientation of keratin rods on the $xy$ plane (figure 2b; see movie 1 in the electronic supplementary material). Owing to the limited tilt range data and the resulting error, features along the $z$-axis are distorted, elongated and are less well resolved (figure 2c,d; see movies 2 and 3 in the electronic supplementary material). This elongation is particularly noticeable on the $xz$ plane (figure 2c; see movie 2 in the electronic supplementary material).

The three-dimensional Fourier power spectra of the cubic datasets feature a nearly spherical shell at intermediate spatial frequencies (figure 3a,b). The spherical shape is distorted along the $z$-axis by depressions, or ‘dimples’, of low spatial frequency (figure 3a). The sphere in the three-dimensional transform is not completely hollow, but shows some low spatial frequency features because of the distortion along the $z$-dimension (see movies 4–7 in the electronic supplementary material). We also examined the average power spectra along the $x$-, $y$- or $z$-axes individually (figure 3c–e). These are equivalent to taking the average of the stack of two-dimensional Fourier power spectra along each of the three dimensions. The resulting two-dimensional average Fourier power spectrum along the $z$-axis, where the distortion in the $z$-dimension is best controlled, shows a discrete ring of high power at intermediate spatial frequency (figure 3e), indicating local nanoscale order that is equivalent along multiple directions within the

2.7. Scanning electron microscopy

To cross-validate our electron tomographic three-dimensional morphological data, we examined the spongy layer on a scanning electron microscope (SEM, Hitachi S-5000) at the electron microscope laboratory at the University of California, Berkeley. To cut longitudinal sections, we used epoxy to mount barbs lengthwise to pieces of carbon tape attached to the blunt end of blank Epon TEM blocks. We then cut through the barb longitudinally using a diamond knife on a microtome. The barbs were then placed on stubs and sputter-coated with gold before viewing in the SEM.

3. RESULTS

Spongy medullary keratin cells sit beneath a cortex of solid keratin, and above a layer of melanin granules surrounding hollow central vacuoles (figure 1c). The three-dimensional dataset was acquired from the spongy keratin matrix within single medullary cells (square inset, figure 1c). The final dimensions of our thick section map were $4004 \times 4087 \times 1224$ nm.

The density map from the thick section revealed the larger scale ordering of the spongy tissue. The sequence of $xy$ images in the three-dimensional dataset demonstrates that the colour-producing nanostructure of eastern bluebirds is an irregular, completely interconnected (i.e. bicontinuous; Shimizu et al. 2004) network of keratin rods and air voids forming a characteristic ‘channel’-type structure (figure 2; see movies 1–3 in the electronic supplementary material). The keratin forms interconnected, anastomosing, net-like layers with equivalently shaped air channels. Slices through the $z$-axis show random orientation of keratin rods on the $xy$ plane (figure 2b; see movie 1 in the electronic supplementary material). Owing to the limited tilt range data and the resulting error, features along the $z$-axis are distorted, elongated and are less well resolved (figure 2c,d; see movies 2 and 3 in the electronic supplementary material). This elongation is particularly noticeable on the $xz$ plane (figure 2c; see movie 2 in the electronic supplementary material).

The three-dimensional Fourier power spectra of the cubic datasets feature a nearly spherical shell at intermediate spatial frequencies in most directions (figure 3a,b). The spherical shape is distorted along the $z$-axis by depressions, or ‘dimples’, of low spatial frequency (figure 3a). The sphere in the three-dimensional transform is not completely hollow, but shows some low spatial frequency features because of the distortion along the $z$-dimension (see movies 4–7 in the electronic supplementary material). We also examined the average power spectra along the $x$-, $y$- or $z$-axes individually (figure 3c–e). These are equivalent to taking the average of the stack of two-dimensional Fourier power spectra along each of the three dimensions. The resulting two-dimensional average Fourier power spectrum along the $z$-axis, where the distortion in the $z$-dimension is best controlled, shows a discrete ring of high power at intermediate spatial frequency (figure 3e), indicating local nanoscale order that is equivalent along multiple directions within the
Three-dimensional morphology from tomographic reconstructions of colour-producing spongy tissue from eastern bluebird *S. sialis* feathers. (a) Three-dimensional surface rendering of a 1024 nm$^3$ section of spongy tissue. (b–d) The two-dimensional planes from the tomographic reconstruction. The dark material represents keratin and the light material is air. Surface rendering was performed using UCSF Chimera, while the individual planes are from reconstructions computed using IMOD. Elongation and blurring of features in the $xz$ and $yz$ planes are caused by tomographic error.

The two-dimensional average power spectrum in the $x$- and $y$-axes has a variable ‘bow-tie’ shape (figure 3c,d), showing the low-frequency distortion in the $z$-axis above and below the origin which eliminates the spherical shape from these perspectives.

Both the peaks and the shapes of the predicted reflectance spectra based on the azimuthal average of the three-dimensional Fourier power spectra match the measured reflectance spectra quite well (figure 4). This indicates that the nanostructure is sufficiently periodic at the appropriate spatial scale in all three dimensions to produce the observed reflectance by coherent scattering. SEM images further support that the elongated appearance of keratin rods in spongy layer along the $z$-direction is a consequence of tomographic error and do not represent real differences.

We took SEM images along the $xz$ plane for a comparison with surface renderings from tomography (figure 5). Keratin rods in the surface renderings appear elongated in the $z$-direction compared with those in the SEM image (figure 5). The stretched appearance of features in tomograms in the $z$-direction is a common and well-known error in tomography resulting from the fact that the limited electron penetration of an unusually thick specimen only allowed data collection of 120°, resulting in a missing pyramid of information and hence in data anisotropy along the $z$-dimension (Luic et al. 2005; Frey et al. 2006). The fact that the distortion in the data occurs precisely in the $z$-dimension indicates that it is an artefact of the tomography and not a feature of the tissue, because there is no biological reason for this distortion in precisely that direction. Furthermore, SEM images taken along the $xz$ plane from a section of the spongy medullary keratin show no distortion in the $z$-dimension. However, from the data presented, it is clear that electron tomography may be used to address the question of nanoscale three-dimensional architecture, as it allows the calculation of a three-dimensional Fourier transform, which is not obtainable from SEM or conventional TEM images. SEM nicely complements the results, and we therefore suggest that future studies should contain both approaches.

### 4. DISCUSSION

These tomographic data provide the first three-dimensional visualization of a colour-producing three-dimensional amorphous nanostructure. Argyros et al. (2002) obtained electron tomography data from a crystal-like photonic butterfly scale, but, because their dataset was limited to less than twice the periodicity of the structure in the $z$-dimension (500 versus 300 nm), they could not examine three-dimensional periodicity directly. Previous two-dimensional Fourier predictions of the hue values for spongy medullary keratin have not been very accurate, deviating from measured values by as much as 70 nm (Prum et al. 1998b, 1999; Shawkey et al. 2003). Furthermore, shapes of predicted spectra rarely match measured curves (Doucet et al. 2004; Shawkey et al. 2006). The substantially higher accuracy of colour predictions based on these three-dimensional tomography data indicates that a complete three-dimensional description of the nanostructure is extremely useful in understanding and modelling structural colour production, particularly for amorphous photonic structures. However, certain limitations in tomographic data collection must be considered in subsequent analysis.

The three-dimensional Fourier analysis indicated that the pattern of medullary keratin analysed differed in ultrastructure in the $z$-dimension, compared with the $xy$ plane. However, comparisons of SEM images with tomographic reconstructions show that variation in the $z$-dimension is not characteristic of spongy keratin, and is caused by tomographic error. While we collected and combined data along two perpendicular tilt axes, each dataset was only recorded over 120°, hence resulting in significant data anisotropy (Luic et al. 2005; Frey et al. 2006). The limitation in our data collection geometry is a direct result of the thickness of our specimen and the limited penetration depth of the electron beam at angles higher than ±60°. However, thick sections were key to our study as we aimed to have
sufficient repeating units in the $z$-dimension to render Fourier analysis meaningful. In any case, the predicted reflectance at normal incidence appears to have only been minimally affected. New advances in tomography, such as rotation holders that allow 180° data collection (M. H. Ellisman 2008, unpublished data), may improve isotropy in the future, but these results already illustrate the power of electron tomography. As always, it is important to validate tomographic data with at least one other independent method.
Both tomographic and SEM data show that the channel-type nanostructure of the eastern bluebird is bicontinuous—i.e. all keratin channels and all air voids are interconnected with one another, respectively. This connection and their overall amorphous organization support the proposal that they are formed through a process of self-assembly similar to that observed in block copolymers (Dyck 1971; Prum 2006). Some of these polymers self-assemble into amorphous, bicontinuous structures that bear striking resemblances to these polymers self-assemble into amorphous, bicontinuous structures that bear striking resemblances to those in birds (Shawkey et al. 2004). Many three-dimensional photonic structures in invertebrates also appear to be bicontinuous (Vukusic & Sambles 2003), and tomography data will allow us to verify this and even compare their structures and, potentially, development with those in birds (Shawkey et al. 2009).

Our results show that IVEM tomography is a useful technique for resolving the structure of three-dimensional biological nanostructures, but not surprisingly needs to be used carefully. Every possible measure should be taken to minimize tomographic error, and results should ideally be compared with those from at least one other technique, such as SEM. The application of tomography to study biophotonic structures is likely to increase in the future, as it is one of the few available methods for collecting data that can be visualized and analysed in three dimensions. SEM is useful for examining the surface features of tissues, but SEM data are still only a two-dimensional representation of an object and thus cannot be used in three-dimensional analyses such as those presented here. Ultrathin serial section reconstruction (Ware & Lopresti 1975) has been applied to numerous tissue types ranging from dendritic spines (Harris 1999) to an entire animal, the nematode *Caenorhabditis elegans* (White et al. 1986). However, such techniques are not practical when the thickness of the sections is similar to the nanoscale periodic structures being resolved (Oppenheim et al. 1997; M. D. Shawkey, unpublished data). An interesting approach for future studies may be dual-beam focused ion beam/SEM, which avoids some of the caveats of electron tomography and may be particularly useful for samples where a resolution of 5–20 nm is sufficient. Finally, we have shown that some of the errors associated with two-dimensional Fourier analysis can be alleviated by the use of three-dimensional methods, and therefore encourage their future use. Moving the study of three-dimensional biophotonic structures into the third dimension will considerably advance our understanding of their optics, development and evolution.

We thank Reena Zalpuri (UC, Berkeley) for help with sectioning, Kent Miller (UC, Berkeley) for help with SEM, Eileen T. O’Toole (UC, Boulder) for help with preliminary tomographic data collection and James Obayashi (NCMIR) for help with tomographic reconstruction. M. Crne (GA Tech) provided insights into microscopy. We also thank Rodolfo Torres and two anonymous referees for their helpful comments. This work was supported in part by the Integrated Bioscience Program at the University of Akron in the form of start-up funds to M.D.S. The National Center for Microscopy and Imaging Research at San Diego is supported by the National Institutes of Health through a National Center for Research Resources Program grant P41 RR04050 awarded to M.E.

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