Crystallographic orientation inhomogeneity and crystal splitting in biogenic calcite

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The calcitic prismatic units forming the outer shell of the bivalve Pinctada margaritifera have been analysed using scanning electron microscopy–electron back-scatter diffraction, transmission electron microscopy and atomic force microscopy. In the initial stages of growth, the individual prismatic units are single crystals. Their crystalline orientation is not consistent but rather changes gradually during growth. The gradients in crystallographic orientation occur mainly in a direction parallel to the long axis of the prism, i.e. perpendicular to the shell surface and do not show preferential tilting along any of the calcite lattice axes. At a certain growth stage, gradients begin to spread and diverge, implying that the prismatic units split into several crystalline domains. In this way, a branched crystal, in which the ends of the branches are independent crystalline domains, is formed. At the nanometre scale, the material is composed of slightly misoriented domains, which are separated by planes approximately perpendicular to the c-axis. Orientational gradients and splitting processes are described in biocrystals for the first time and are undoubtedly related to the high content of intracrystalline organic molecules, although the way in which these act to induce the observed crystalline patterns is a matter of future research.

1. Introduction

Knowledge of the crystallography of biocrystals is essential in order to understand their structure, initiation and mode of growth. Within invertebrates, molluscs are unrivalled masters in the formation of crystalline calcium carbonates, taking into account the vast array of crystal shapes and distributions (i.e. the so-called microstructures) which they are able to produce. The crystallography of biominerals formed by molluscs has been investigated using several techniques, including high-resolution synchrotron X-ray diffraction (XRD) [1], X-ray photoelectron emission spectromicroscopy [2] and electron back-scatter diffraction (EBSD) [3]. According to these studies, biocrystals diffract (X-rays, electrons, etc.) in a similar way to single crystals on the meso-scale. Some authors have shown that some microstructural units may be polycrystalline. The use of etching protocols has demonstrated that nacre tablets of gastropods are composed of domains (sometimes several tens of domains), corresponding to single crystals twinned on {110} planes [4]. The dome-shaped crystals of aragonite, which initiate the nacre of Pinctada, have been shown by transmission electron microscopy (TEM) to consist of a complex arrangement of crystalline domains with diverse orientations [5]. The columnar calcitic prismatic units forming the outer layer of Pinctada consist of several subunits [6,7] each, with complex boundaries, which were shown by
TEM-EBSD to display a considerable degree of mutual mis-orientation [8]. These same units were shown to display large variations in the orientations of their c-axes [9], hence being different crystallographic domains. Intraprismatic domains are much longer than wide because they extend parallel to the long axis of the main prismatic unit with growth. Although there is a considerable range of sizes, they should rather be considered as microstructural units.

Biocrysts are known to display an internal ultrastructure, composed of submicrometre-sized particles. This is referred to as mesocrystalline behaviour [10]. Previous authors [1,11], using high-resolution synchrotron XRD, determined coherent domains with lengths ranging from 300 to 750 nm for the calcitic prisms of two species of *Atrina* and one of *Pinna*, with very low mosaicity (0.03° for *Atrina serrata* [1], and less than 1° for *Pinna nobilis* [12]). They are anisotropic in shape, extending differently along diverse crystallographic directions [11]. These findings are in good agreement with the TEM observations [8,13] of intracrystalline submicrometre partitions with different diffraction contrasts, which were limited by discontinuously aligned biomacrromolecules. Up to now, no data on the mis-orientation between adjacent nanodomains have been provided, although values less than 2° can be inferred from fig. 2 in Okumura *et al.* [8].

The nanostructure of biocrysts has also been investigated with atomic force microscopy (AFM). Dauphin [14] was the first to observe that the nacre of cephalopods was composed of irregular amalgamated granules of diameters between 40 and 50 nm. Furthermore, AFM- and scanning electron microscopy (SEM)-based work has revealed that such nanostructures are common to carbonate biocrystals secreted by sponges [15], corals [16], molluscs [17] and echinoderms [18]. The component granules in all these materials range in size from 30 to 200 nm; therefore, these morphological nanodomains are much smaller than the ones revealed by TEM.

The columnar prismatic microstructure forming the outer shell layer of many pteriomorphs bivalves (pearl oysters, fan mussels, oysters, scallops and others) is the most intensively studied calcitic biomaterial secreted by molluscs, being second only to iconic nacre, if the aragonitic columnar prismatic layer, were also used. In all cases, the specimens were taken live, and the shells cleaned and stored under dry conditions. Two samples of geological calcite were also studied. One of them was a speleothemic calcite from Sierra de Baza (Spain). The other was a high optical grade, single crystal from Durango (México) of hydrothermal origin. Observations on a variety of species of *Pinctada* and other pteriomorphs in the collections of the EPUGR, have also been made.

### 2.2. Electron back-scatter diffraction coupled to scanning electron microscopy

Samples of the outer prismatic layer of *Pinctada* and of the two geological calcites were prepared and analysed at the Institute of Metallurgy and Materials Science (IMIM) of the Polish Academy of Sciences in Kraków. Polishing was carried out on horizontal diamond-impregnated discs (Struers DP-U2 type polisher) with grit sizes 1 and 0.25 μm. This polishing protocol produced maps of good image quality (figure 2r, top), as well as a high percentage of adequately indexed patterns (a case of a cleaned up map is shown in figure 2r, bottom). Sections were made approximately parallel to the long axis of prisms. Nevertheless, in our maps, prisms have frequently been cut obliquely. According to their elongations, we have estimated that the angles of divergence of their axes from the cutting plane are between 6° and 12°. Samples were analysed using orientation imaging microscopy in low vacuum conditions in the FEI field emission gun (FEG) SEM Quanta three-dimensional microscope of the IMIM. Owing to operation in low vacuum mode, no coating was necessary. A special cone was attached to the SEM pole piece to minimize the so-called skirt effect of the primary electron beam and reduce the gas path length. Acceleration voltage was between 10 and 15 kV. Analysis software (TSL OIM v. 5.3) was used to post-process the EBSD measurements. All data with a confidence index (CI) below 0.1 were removed. Measurements were taken at step sizes between 500 and 50 nm. For visualization purposes, the following clean-up procedure was applied: (i) grain CI standardization, (ii) neighbour orientation correlation, and (iii) neighbour CI correlation.

### 2.3. Transmission electron microscopy

Samples of the columnar calcite of the bivalve *Pinctada margaritifera* were first mechanically polished and subsequently thinned down to electron transparency with a GATAN precision ion polishing system (PIPS) at the Fritz–Haber Institute of the Max–Planck Society in Berlin. TEM analysis was carried out using the Jeol 2200FS microscope at the University of Aveiro, Portugal. For the scanning TEM (STEM) observations, we used high-angle annular dark field. We chose the imaging conditions so as to have diffraction orientations of adequate image quality (by selecting a relatively large camera length); in this way, the detector acts basically as an annular dark field detector, which is sensitive to changes in crystallographic orientations and scattering of the imaged object.

### 2.4. Atomic force microscopy

For AFM observations, a sample of the shell of *Pinctada margaritifera* was polished, using a protocol adapted from Nout et al. [19]. We used Struers water-grinding papers (DP Mol and DP Dur), followed by a thin polishing with Struers diamond pastes (3, 1 and 0.25 μm) and finally silica gel suspension (approx. 3 h). The sample was etched in a 0.1 wt% acetic acid with 3 per cent glutaraldehyde solution for 8 s. It was later repolished, immersed in commercial bleach for approximately 90 s and dried before placing in the AFM sample holder. Observations were made in air using an AFM (multimode Veeco) of the Centro Nacional de Microscopía Electrónica (Universidad Complutense de Madrid). AFM images
were recorded in tapping mode while displaying cantilever height, phase and amplitude signals. Recorded AFM images were subsequently analysed using the Nanoscope 5.30/3s3 and Nanotec WSSM v. 2.1 softwares [20].

2.5 Field emission scanning electron microscopy
Additional observations on the shells of several species of Pinctada and other Pterioidea and Pinnoidea have been made with the field emission SEM (FESEM) Zeiss Leo Gemini 1530 of the Centro de Instrumentación Científica of the Universidad de Granada.

3. Results
3.1. Electron back-scatter diffraction coupled to scanning electron microscopy
Pinctada has an outer layer with calcitic columnar prismatic microstructure, which is underlain by a nacre layer. This microstructural arrangement is common to the rest of the pterioid bivalves (the group that includes the pterioideans or pearl oysters, and the pinnioideans or fan mussels). The calcitic layer consists of large prismatic units (up to several hundred micrometres long) which elongate in perpendicular to the shell’s outer surface, i.e. in the growth direction of the prisms (figures 1, 2a,b and 3a). As usual, many units tend to disappear towards the shell interior at the same time as the surviving units expand in width (figure 1). Units (called here first-order units, FOUs) are surrounded by micrometric organic walls (figure 1). In the case of Pinctada, each FOU is usually subdivided into second-order units (SOUs) [6–9] (figures 2a,b, 3a and 4a).

Orientation maps reveal that FOUs as well as SOUs characteristically display colour gradients that propagate in both the vertical (i.e. growth) and horizontal directions (figures 2a,b, 3a, 4a and 5). Angular misorientation along these gradients can be very high, particularly in parallel to the long axis of the prisms (in some instances, we have measured values above 40°; figure 2c and electronic supplementary material, table S1). Some misorientation profiles perpendicular to the growth direction of the FOUs display repeated oscillations (figure 2c, profiles 1, 2 and 6).

After a certain shell thickness has been secreted, distinct demarcation lines, which separate areas with increasingly different orientations, begin to appear along some FOUs (figures 2b, 3a and 5a). Misorientation profiles show a distinct change across these lines, so that the change is no longer gradual, but abrupt (figure 2c, profiles 2, 3 and 5). This implies that, at these positions, the initial crystal splits and transforms into two or more subgrain crystalline domains. Splitting becomes more intense towards the shell interior, i.e. the number of new branches (crystalline domains) increases towards the shell interior (figures 2b and 3a). We have often recorded divergences between SOUs in the orientation of the c-axis of approximately 20°, whereas Gilbert et al. [9] found values of up to 50°. At some growth interruptions (evidenced by marked growth lines enriched in organic matter), the calcitic crystals may emerge de novo, (rarely) cease to grow, or shift their positions (figures 2a,b and 3a).

The identified boundaries between SOUs are complex, locally dendritic and, sometimes, areas belonging to a given crystal can become totally isolated within the neighbouring crystal (figures 3c and 4a), although we cannot rule out connections in the third dimension.

For particular crystals, the inverse pole figures (IPFs) indicate that the pole paths do not take any defined trend (figures 3b and 5). For example, in the case depicted in figure 5a, the path is oscillating, with the pole maximum shifting, first to the left and then to the right. The recorded trends do not follow radii subtending from any of the corners of the IPF (figure 5a), but are rather oblique, implying that the calcite lattice rotates around a changing axis that does not coincide with either the c- or the a-axes (figures 3b and 5a). The same patterns are observed for those FOUs which split with growth, with the difference that the splitting process is evident upon examination of the IPF maxima, which progressively broaden and branch into several independent growth trajectories (figure 5b).

In the different transects, most misalignment values are above the experimental error (0.5°), with some values rising above 3° (figure 2c and electronic supplementary material, table S1). Above this value, splitting typically takes place. The boundaries between SOUs become clearly delineated for point-to-point misorientations greater than or equal to 4° (figure 4b).

The orientation maps made on Pinna nobilis show that, within each prismatic unit, there are no changes in colour, implying absence of orientation gradients and splitting events (see the electronic supplementary material, figure SIa).
Misorientation profiles reveal point-to-point misorientation values similar to those recorded in *Pinctada margaritifera*, but point-to-origin profiles do not show appreciable trends (see the electronic supplementary material, figure S1 and table S1). The orientation maps acquired from the speleothemic calcite from Baza show that each crystal displays a uniform internal orientation, without having any evidence of orientational gradients (see the electronic supplementary material, figure S2). This is also revealed by both the small spread...
3.2. Transmission electron microscopy

TEM revealed areas within FOUs which show very different diffraction contrast (i.e. electron diffraction in the crystalline material depends on the orientation with respect to the electron beam; figure 6a–c) which is caused by local variations of the crystallographic orientation. Figure 6d shows a case in which the variation in the orientation of the c-axis, based on lattice fringes, measured around 4°. The sharp contrast change between the lower and upper parts in figure 6d also indicates a slight rotation around the a-axis. The boundaries between different crystalline domains are irregular and slightly dendritic (figure 6a–c,e). Occasionally, the formation of peninsulas and islands of domains within a differently oriented matrix can be discerned by the difference in diffraction contrast in bright field images (figure 6a–c,g). They clearly correspond to the different SOUs identified during SEM and EBSD analysis. Both, the jagged aspect of the boundaries as well as the existence of some units, isolated or semi-isolated within areas of different contrast, imply that the SOUs recognized with TEM have a substructure of polygonal units.

This observed nanostructure is also evident from observations within areas of similar diffraction contrast, which reveal that the ultrastructure consists of a network of polygons with different contrast (figure 6h,i). Their boundaries are jagged and irregular, although they follow a predominant direction, which is shown by selected area diffraction to be perpendicular to the c-axis (figure 6i). These boundaries are crossed by other boundaries at different angles (mostly perpendicular or at a high angle). Together, they delineate a pattern of irregular parallelogram-like units of calcite. Their sizes vary, but are within the range of 200 × 500 nm. The subtle differences in contrast between the nanodomains imply small misorientation values.

3.3. Atomic force microscopy

AFM images of polished cross sections of Pinctada margaritifera reveal the nanounits that form the calcitic prisms (figure 7a–c). These nanounits’ sizes are approximately 50–150 nm and they appear partially coated by a thin membrane clearly recognizable in the height and amplitude images (figure 7d,e). Typically, the thickness of such a membrane ranges from 0.5 to 1.5 nm (figure 7f). After the sample was treated with bleach for about 90 s, most of the membrane was removed from the surface and only some remains attached to the nanounits could be seen. Phase images reveal a clear contrast between the nanounits and the covering membrane, with the former appearing much brighter. Such a contrast indicates that nanounits are harder than the membrane. All together, these observations indicate that the membranes most likely have an organic nature.

3.4. Field emission scanning electron microscopy

On the growth surface of the prismatic crystals of Pinctada margaritifera (as well as in other species of the same genus), we have noted the presence of a substructure of minor globular nanounits (30–80 nm in diameter; figure 8), which might be the carbonate globules identified with AFM (figure 7). The nanounits sometimes cluster into more or less well-defined rhombohedral aggregates (figure 8a).
For each prism, the cleaned-up map (previously filtered for CI pole figures (arrows). The growth direction of the prismatic units is to the bottom. Reference triangle as in figures 2 and 3. IPF, inverse pole figure.

Figure 4. Orientation imaging of the calcitic layer of *Pinctada margaritifera*. (a) Orientation map filtered with CI > 0.1 and cleaned up. (b) Image quality map with superimposed grain boundaries for which point-to-point misorientation is ≥4°. Double white arrows in (a) point to disconnected areas with identical orientations within single prismatic units. The growth direction of the prismatic units is to the bottom. Reference triangle as in figures 2 and 3.

4. Discussion

Our EBSD study demonstrates that the prismatic layer of *Pinctada margaritifera* is formed by long prismatic units (FOUs) which do not have a constant crystallographic orientation. In particular, FOUs are characterized by having gradients in orientation, which are more notable in parallel to the long axis of the unit, although they can be perpendicular or oblique to this direction (figures 2–4).

The orientation maps (figures 2b, 3a and 4a) and the IPFs (figures 3b and 5a) also imply that poles of particular crystals do not have linear but winding and sometimes oscillating paths, which are independent from those of their neighbouring crystals. Also, the different FOUs begin at the shell surface as single crystals, until at a certain growth stage they begin to split into minor crystalline units (SOUs) the intricate boundaries between them being characterized by sharp changes in misorientation (typically more than 4°; figure 4b). Associated IPFs show that splitting is manifested as a local divergence in gradients (figure 5b). After splitting, the SOUs continue to display internal orientational gradients (figures 2b, 3a, 4a and 5b). The splitting both of FOUs and SOUs may continue with growth until the prismatic unit becomes a complex branched crystal in which the branches become progressively different crystalline domains (figures 2b, 3a and 4a). In this way, new crystallographic domains (SOUs) develop from FOUs, without the need of nucleation events.

Checa et al. [21] reported that the individual calcitic prisms (FOUs) of the oyster *Ostrea edulis* and of the scallop *Papamussium dalli* (both are members of the Ostreoida, which, together with the Pterioda, are included within the Pteriomorpha) also display longitudinal orientational gradients (see the electronic supplementary material, figure S3). Pole figures for individual profiles (see the electronic supplementary material, figure S5c,d) show changes in orientation both in the c- and a-axes. A re-examination of these data shows that, in addition, cases of crystal splitting, though not so marked as those recorded in *Pinctada margaritifera*, are also frequent in the prisms of *O. edulis* (see the electronic supplementary material, figure S3b,d).

Besides their existence in a number of bivalves with calcitic layers, we have detected gradients also in the fibrous aragonitic prisms of the bivalve *Neotrigonia* (A. Checa, J. Bonarski, M. Faryna, K. Berent 2013, unpublished observations). Therefore, the reported orientational gradients may be a common phenomenon in molluscan biocrystals.

TEM revealed areas within FOUs which were in very different Fresnel contrast, also implying different orientations (figure 6), and which clearly correspond to different SOUs (figure 6a–g). These areas are in turn composed of nanodomains, which have boundaries approximately perpendicular or at a relatively high angle to the c-axis (figure 6h,i). These nanodomains display subtle differences in contrast, which should also correspond to minor misorientations. Unfortunately, neither data from the literature nor our TEM data directly revealed the existence of dislocation lines on the material. Okumura et al. [13], with TEM, noted similar
Figure 6. TEM views if the calcitic prismatic layer of *Pinctada margaritifera*. (a) General STEM view. (b,c) Progressively closer TEM views of areas with high diffraction contrast. (d) Selected area electron diffraction of the area shown in the lower left inset (framed in (c)). The double 001 spots (white arrows) imply that the $c$-axis rotates by approximately 4° from one area to another. The upper inset is a magnification of the upper double spot (framed). (e–g) TEM views recorded at increasing magnifications showing areas with different diffraction contrasts and the aspect of the nanounits composing the material. The position of (g) in (f) is indicated. (h,i) TEM views within one region with uniform overall contrast. Note that the nanounits are delineated by thin contours of bright contrast, indicating low electron density. The orientation of the $c$-axis is indicated in (i).

Figure 7. AFM images of a vertical polished section through the outer prismatic layer of *Pinctada margaritifera*. The images were taken in tapping mode. (a–c) Height, phase and amplitude images, showing the nanoblocky structure. The scale bar in (a) is valid for the three images. (d) Close-up view (amplitude image) of the nanounits showing the membrane that covers the nanounits. (e) Detail of (d) (position indicated). Height image. (f) Height profile along the line p–q in (e). The vertical distance between the two triangular markers (i.e. the approximate thickness of the membrane shown in (e)) is 0.8 nm.
domains in the prismatic calcite of several bivalves having misoriented boundaries (no quantitative data were provided). Suzuki et al. [22] reported similar nanodomains in the calcitic sphaleritic prismatic units of the outermost layer of a limpet, which also showed small misalignments. The TEM data fit in with the point-to-point misorientation values we have measured with EBSD (see the electronic supplementary material, table S1).

The nanodomains detected with TEM (figure 6) are dissimilar in size and shape to the organic-coated globules which we observed with AFM (figure 7) and FESEM (figure 8) and which have been previously reported by other authors [14,17,23], these being irregularly rounded in shape and much smaller (30–100 nm). Both features constitute different ultrastructural levels.

The orientational gradients and splitting processes described in biocrystals are known in natural or synthetic materials subjected to deformation [24–26], with the difference that the former happen at surface ambient temperature and pressure. In fact, the available EBSD data are qualitatively identical. A similar process was described by Garcí-Ruiz et al. [27] in the so-called wetherite biomorphs, which show repeated processes of crystal splitting during growth (see also review in [28]). These authors interpreted these processes according to previous models [29], which establish that non-absorbable polymer impurities adhering at the growth front cause the formation of new crystals which are slightly misoriented with respect to the crystalline lattice. In these cases, we do not yet know whether splitting also has the gradual nature we have observed in biogenic calcite. Other geological minerals growing at surface temperature show similar features, as in the case of the saddle dolomite, which is characterized by curved crystal faces resulting from misoriented microdomains, owing to the superposition of growth increments in which the composition oscillates [30,31].

The existence of gradients in aragonite of biological origin (see above) allows us to rule out the effect of magnesium ions being incorporated within the crystal lattice as a possible origin of the observed orientational instabilities.

The most evident difference between biogenic and geological or synthetic materials is the presence of occluded intracrystalline biomolecules. Berman et al. [1], using high-resolution synchrotron X-ray radiation, found a coherence length of some 300 nm in the calcite prisms of the pinnoidal bivalve Atrina. They attributed this pattern to the adhesion of organic molecules to specific planes that prevent crystal growth in the perpendicular direction. Li et al. [32] observed with TEM tomography disc-like nanopatches in the calcite of Atrina, where scattering intensity is consistent with organic inclusions. These nanopatches are preferentially aligned with the (001) calcite planes. Along the crystallographic c-axis, there are alternating organic-rich and -poor regions on a length scale of tens of nanometres, whereas in the perpendicular directions, the distribution of nanopatches is more random and uniform. Gilow et al. [12] also concluded that organic molecules attach preferentially to the highly charged (001) planes of the prismatic calcite of Pinna (which is a close relative of Atrina). A similar pattern has been recently observed in the nacre of the mussel Perna [33]. Organic molecules occluded within the crystals and distributed around the boundaries of 200–300 nm crystalline domains (similar to the ones which we report here; figure 6a–e–i) were imaged with TEM in the calcites of the pterioidean Pinctada and in the ostreoidote Crasosostrea [13,34]; the boundaries are characterized by small misorientations, in coincidence with small distortions of the crystal lattice. The same authors failed to find such patterns of misoriented domains in the calcite of the pinnoidal Atrina. These data strikingly match our EBSD results, which imply the existence of misorientations in Pinctada (figures 2b,c, 3a and 4d), and in Ostrea (same bivalve family as Crasosostrea; electronic supplementary material, figure S3) but not in Pinna (same family as Atrina; electronic supplementary material, figure S1). Although additional evidence is needed, there appears to be a correlation between the patterning into diffraction contrast nanodomains, as revealed by TEM, and misorientation trends, measured by EBSD. Okumura et al. [13], making TGA curves for several calcitic biocrystals, found no significant differences in the intracrystalline content of organic molecules between the prismatic calcites of Pinctada, Crasosostrea and Atrina, which casts some doubt on the intracrystalline content as directly responsible for the misorientations measured, by means of dislocations.

Dislocations are not the only effects induced by occluded biomolecules. Pokroy et al. [35,36] revealed that in biological calcite and aragonite the crystal lattices are anisotropically distorted, attributing this to the action of intracrystalline biomolecules. Okumura et al. [13] reported significant differences in their calculated variances of lattice spacing, the values for Pinctada and Crasosostrea being well above that for Atrina. This certainly correlates with the gradients and crystal-splitting processes recorded with EBSD in Pinctada and Ostrea, and with their absence in Pinna (see paragraph above). Deformation of the crystal lattice can be easily accommodated without changes in orientation (lattice rotations), but the fact that this deformation is inhomogeneous across
the crystal could potentially cause intracrystalline strains, which could be resolved as long-range misorientations and, ultimately, crystal splitting, of the kind we have observed. Further work, including a large set of samples, is needed to test this hypothesis.

The nanostructure observed with AFM (figure 7) consists of granules coated with extremely thin organic pellicles. The contribution of this low-weight molecular component to the reported instability of the orientations is also a matter of investigation.

5. Conclusions

Our SEM-EBSD study of the calcitic prismatic outer shell layer of the bivalve Pinctada margaritifera has revealed two important features: (i) the crystallographic orientation within individual crystals is not constant, but fluctuates in the form of longitudinal or transversal gradients and (ii) after an initial single-crystal growth stage, the prismatic units spontaneously split into progressively different crystallographic domains. Each new crystallographic domain thus formed also develops its own orientational gradients. This behaviour is in good agreement with the nanostructural reorganization observed by TEM, consisting of slightly misoriented parallelogram-like nanodomains. It is still to be determined whether dislocation lines exist between the nanodomains as well as whether misorientations occur exactly at the boundaries between the TEM-observed nanodomains.

Although the existence of intracrystalline misorientations had been incipiently anticipated by Okumura et al. [8], our study provides the first clear picture of how they are distributed into orientational gradients and the first cogent explanation of the associated processes of splitting in biocrystals. This opens the window for potential biomimetic studies aimed at obtaining synthetic materials with particular crystallographic properties by simply varying the contents and types of absorbable biomolecules.

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